# BEFORE THE PROFESSIONAL ENGINEERING COMMITTEE OF THE NEW MEXICO BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS AND PROFESSIONAL SURVEYORS

CASE NO.: 6-PE-04-16-2021

IN THE MATTER OF:

Eric J. Tompos License No. 17375

Respondent.

## PRE-NCA SETTLEMENT AGREEMENT FOR PRACTICING ON AN EXPIRED LICENSE

WHEREAS. Eric J. Tompos (hereinafter. "Respondent") is an engineer licensed in New Mexico under the Engineering and Surveying Practice Act, NMSA 1978, Sections 61-23-1 through 61-23-36, and is subject to the jurisdiction of the New Mexico Board of Licensure for Professional Engineers and Professional Surveyors ("Board"). and the Professional Engineering Committee ("Committee") created by the Board; and

WHEREAS, the Committee staff has received evidence that Respondent practiced engineering on an expired license; and

WHEREAS, the Committee has delegated express authority to its Executive Director to offer proposed settlement agreements under certain circumstances when evidence exists of a violation of the Engineering and Surveying Practice Act or its promulgated rules; and

WHEREAS, the Executive Director may offer a Pre-NCA Settlement Agreement that includes a fine of \$500 to be paid within 30 days; and

WHEREAS, this Pre-NCA Settlement Agreement ("Agreement") is entirely voluntary; if the Agreement is rejected by the Respondent or not accepted by the Committee, the matter will be forwarded to the Attorney General's Office for additional consideration, which may include the initiation of formal disciplinary action and possible issuance of a Notice of Contemplated Action ("NCA") against the Respondent; and

WHEREAS, Respondent desires to resolve this matter in an informal, amicable fashion without the need for the time and expense of a formal hearing; and

WHEREAS, the Committee's Executive Director believes this proposed Agreement is appropriate pursuant to the Committee's delegated duties, and in the best interest of the Committee, and the public.

Pre-NCA Settlement Agreement Eric J. Tompos, License No. 17375 Case No. 6-PE-04-16-2021 Page 1 of 4

## THEREFORE, IT IS AGREED AS FOLLOWS:

- 1. <u>Jurisdiction</u>: Respondent is licensed by the Board or otherwise subject to the Engineering and Surveying Practice Act, and falls under the jurisdiction of the Board and its Professional Engineering Committee.
- 2. <u>Voluntary Agreement</u>: Respondent enters into this Agreement voluntarily and without duress. It is understood that the Committee has not, at this current time, taken any formal disciplinary action against Respondent, and that the Committee or its agents cannot and have not coerced or induced Respondent to enter into this Agreement.
- 3. Committee Approval: This Agreement is subject to Committee approval.
- 4. <u>Waivers</u>: If this Agreement is accepted by the Committee and the terms are satisfied, Respondent understands that the underlying allegations will not be referred for any additional disciplinary action, and agrees to waive any and all rights to a full evidentiary hearing on the merits; including the right to discovery and to confront and cross examine witnesses, and the right to an appellate process.
- 5. <u>Allegations</u>: Respondent acknowledges the evidence and alleged conduct received by the Committee in this matter may have justified findings of violations under the Engineering and Surveying Practice Act, or the rules promulgated under that Act, if this matter had been heard in a merits hearing.
- 6. Conditions: Respondent further agrees to the following conditions:

Fine: A fine in the amount of \$500 shall be assessed. The fine shall be paid no later than thirty (30) days from the date this Agreement is accepted by the Board.

- 7. Reportable Discipline: This Agreement, if accepted, constitutes formal disciplinary action by the Committee and must be reported to the National Council Examiners for Engineering and Surveying (NCEES) Enforcement database. Respondent acknowledges the following discipline being reported:
  - a. Respondent was licensed to practice by the Board, however, Respondent's licensure expired on December 31, 2019, and then lapsed on March 1, 2019.
  - b. The Respondent applied for license renewal on November 26, 2019. The application was incomplete due to proof of the required 2 hours of ethics.

- c. The Respondent submitted a license Reinstatement Application on April 20, 2021, to reinstate. Included was an affidavit of practice letter.
- d. While Respondent's license was expired/lapsed, he engaged in the practice of engineering in the State of New Mexico.
- e. The Respondent's license was reinstated on August 5, 2021.
- 8. Non-Compliance: Respondent agrees that any violation of this Agreement may result in further disciplinary action. A violation will result in the immediate filing of an Order to Show Cause by the Board's Executive Director as to why the Committee should not find Respondent in violation of the Agreement and impose lawful sanctions or penalties and/or take any other disciplinary action. Upon filing an Order to Show Cause, the matter shall be set for the next regular meeting of the Committee, at which time the Committee shall hear from the Executive Director regarding the alleged non-compliance and any evidence offered by Respondent. Any presentation regarding the Order to Show Cause shall be limited to evidence surrounding Respondent's alleged failure to comply with the Agreement or defense thereof. If the Committee finds that a violation has occurred, the Committee may impose any discipline against Respondent considered in the Order to Show Cause.
- 9. <u>Compliance</u>: If Respondent fully complies with the terms and conditions of this Agreement the matter will be concluded. Respondent agrees to otherwise comply with the Engineering and Surveying Practice Act, and acknowledges that any separate violation of the Act or its rules may result in separate disciplinary charges and action by the Committee.
- Contact Information: Respondent shall notify the Board's office within ten (10) calendar days if there is a change in employment or home address while this Agreement is in effect.

03/03/2023 DATE

RESPONDENT

## BEFORE THE PROFESSIONAL ENGINEERING COMMITTEE OF THE NEW MEXICO BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS AND PROFESSIONAL **SURVEYORS**

IN THE MATTER OF:

Eric J. Tompos License No. 17375

CASE NO.: 6-PE-04-16-2021

Respondent.

#### ORDER

This proposed settlement comes before the Committee Chair, by and through authority provided by the Committee during a public meeting, this Agreement is:

\_\_\_\_\_ REJECTED

STEPHEN NEY, CHAIR NEW MEXICO PROFESSIONAL ENGINEERING COMMITTEE

### CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing *Pre-NCA* Settlement Agreement for case 6-PE-04-16-2021 was mailed to the Respondent on March 21, 2023, via certified mail, return receipt requested, to Respondent's last address of record:

Eric J. Tompos 305 N Oakland Ave. Nappanee IN 46550

Angelica Urioste, Compliance Officer
New Mexico Board of Licensure for
Professional Engineers and Professional Surveyors
P.O. Box 1967
Santa Fe, New Mexico 87504

Certified Mail Number: 9171 9690 0935 0155 2434 27

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